

Docket No. 257557US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Claudia WOOD, et al.

SERIAL NO: 10/506,871

GAU:

FILED: September 3, 2004

EXAMINER:

FOR: CATIONIC POLYMERS AND USE THEREOF IN COSMETIC FORMULATIONS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 257557US0PCT		SERIAL NO. 10/506,871	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Claudia WOOD, et al.			
				FILING DATE September 3, 2004		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4 048 301	09/13/77	Christos PAPANTONIOU			
	AB	4 380 600	04/19/83	Yoshikazu HOSODA, et al. Equivalent of DE 2924663			
	AC	4 240 450	12/23/80	Jean-Francois GROLLIER, et al.			
	AD						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AE	00/49998	08/31/00	WO			NO
	AF	1 123 942	08/16/01	EP			NO
	AG	199 07 587	08/24/00	DE(equivalent of CA 2363079)			NO
	AH	2001-19830	01/23/01	JP(English abstract only)			NO
	AI	63-63739	03/22/88	JP(English abstract only)			NO
	AJ	2001-181354	07/03/01	JP(English abstract only)			NO
	AK	6-180859	06/28/94	JP(English abstract only)			NO
	AL	117 326	01/12/76	DD			NO
	AM	195 21 096	12/12/96	DE(equivalent of US 6133363)			NO
	AN	197 14 714	10/15/98	EP			NO
	AO	408 311	01/16/91	EP			NO
	AP	558 423	09/01/93	EP			NO
	AQ	95/21880	08/17/95	WO(equivalent of EP 743962, & US 5777046)			NO
	AR	880 548	12/02/98	EP(equivalent of US 6075089)			NO
	AS	183 466	06/04/86	EP			NO
	AT						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AU	Von H. FIKENTSCHER, "Systematik der cellulosen auf grund ihrer viskosität in lösung", CELLULOSECHEMIE, vol. 13, pages 58-64 1932					
	AV	Von H. FIKENTSCHER, "Systematik der cellulosen auf grund ihrer viskosität in lösung", CELLULOSECHEMIE, vol. 13, pages 71-74 1932					
	AW	William C. GRIFFIN, "Calculation of HLB values of non-ionic surfactants", JOURNAL OF THE SOCIETY OF COSMETIC CHEMISTS, vol. 5, pages 249-256 1954					
	AX	Antje LIESKE, et al., "Synthesis and characterization of block copolymers containing cationic blocks", MACROMOL.CHEM. PHYS., vol. 199, pages 255-260 1998					
	AY	ULLMANN'S ENCYCLOPEDIA OF INDUSTRIAL CHEMISTRY, vol. A21, Kapitel "Polyacrylates", 5. Edition, pages 157-178 1992					
	AZ						<input type="checkbox"/> Additional References sheet(s) attached
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/506,871
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STATEMENT OF RELEVANCY

1) References AA -AC & AE-AK have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.

3) References AL-AS & AU-AY are discussed in the specification. A copy of these references is being submitted here with.

4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.